

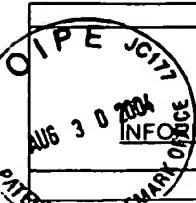
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Docket No.: 153501-0357	Application No.: 09/853,852
FORM PTO-1449		
TYPE INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Applicant: Geis, E. et al.
 (Use several sheets if necessary)		Filing Date: 05/11/01
		Group: 2838

FOREIGN PATENT DOCUMENTS						
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS
<i>a</i>		EP 0 837 231	22.04.98	EP		<i>2</i>
<i>a</i>		EP 0 679 800	27.04.95	EP		<i>2</i>

OTHER DOCUMENTS (*Including Author, Title, Date, Pertinent Pages, Etc.*)

EXAMINER	DATE CONSIDERED
	11/9/04

***EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant



FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.
2066.0060001APPLICATION NO.
09/853,852FIRST NAMED INVENTOR
Everett R. GelsFILING DATE
May 11, 2001ART UNIT
2837

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
lu	AA1 2,864,244	12/1958	Prachar			
	AB1 3,124,924	3/1964	Smith			
	AC1 3,569,809	3/1971	Comer			
	AD1 3,703,076	11/1972	Hagemeister			
	AE1 3,764,815	10/1973	Habock <i>et al.</i>			
	AF1 3,829,758	8/1974	Studtmann			
	AG1 3,991,357	11/1976	Kaminski			
	AH1 4,015,187	3/1977	Sasaki <i>et al.</i>			
	AI1 4,258,424	3/1981	Giras <i>et al.</i>			
	AJ1 4,265,099	5/1981	Johnson <i>et al.</i>			
✓	AK1 4,292,534	9/1981	Diegel <i>et al.</i>			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
lu	3634328	4/1987	DE			Yes No
AL1	19704662	8/1998	DE			Yes No
AM1	0 472 294	2/1992	EP			Yes No
AN1	0 837 231	4/1998	EP			Yes No
AO1	0 901 218	3/1999	EP			Yes No
AP1						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

lu	AR	1	Japanese Patent Application Abstract entitled "Turbogenerator/Motor Control System"; filed May 13, 1999, Japanese Serial No. 133003/1999.
lu	AS	1	Japanese Patent Application Abstract entitled "Command and Control System and Method for Multiple Turbogenerators", filed October 27, 1999, Japanese Serial No. 305375/1999.
lu	AT	1	Japanese Patent Application Abstract entitled "Turbogenerator/Motor Controller", filed August 4, 1998, Japanese Serial No. 220231/1998.

EXAMINER

DATE CONSIDERED

10/16/04

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FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT		ATTY. DOCKET NO. 2066.0060001	APPLICATION NO. 09/853,852
		FIRST NAMED INVENTOR Evert R. Geis	
		FILING DATE May 11, 2001	ART UNIT 2837

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
lh	AA2 4,340,820	7/1982	Meyer-Pitroff et al.			
	AB2 4,401,938	8/1983	Cronin			
	AC2 4,442,385	4/1984	Van Sickle			
	AD2 4,498,551	2/1985	Arbisi			
	AE2 4,551,980	11/1985	Bronicki			
	AF2 4,560,364	12/1985	Cohen			
	AG2 4,565,957	1/1986	Gary et al.			
	AH2 4,684,814	8/1987	Radomski			
	AI2 4,709,318	11/1987	Gephart et al.			
	AJ2 4,719,550	1/1988	Powell et al.			
	AK2 4,730,397	3/1988	Weiford et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
lh	AL2 WO 94/27359	11/1994	WO			Yes No
	AM2 WO 98/25014	6/1998	WO			Yes No
	AN2 WO 99/32762	7/1999	WO			Yes No
	AO2 WO 99/52193	10/1999	WO			Yes No
	AP2 WO 00/28191	5/2000	WO			Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

lh	AR	2	English Language Abstract for Japanese Patent 6-108879 (April 1994).
	AS	2	German Patent Application Abstract entitled "Control Circuit for Turbine AC Motor-Generator - Monitors Power Supply to Regulate Secondary Excitation Windings for Constant Operation of Synchronous Machine, filed October 8, 1986, German Serial No. DE 3634328 (April, 1987)..
	AT	2	German Patent Application Abstract entitled "Load Symmetrising e.g. for Several Power Supply Modules Including Rectifier Modules - Involves Checking Each Module to Assess Whether Bus Functions in Recessive or Dominant Condition," filed February 7, 1997, German Serial No. DE 19704662 (August, 1998).

EXAMINER		DATE CONSIDERED 80/16/04
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		FIRST NAMED INVENTOR Evert R. Geis	
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U.S. PATENT AND TRADEMARK OFFICE

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
AA3	4,754,607	7/1988	Mackay			
AB3	4,757,686	7/1988	Kawamura <i>et al.</i>			
AC3	4,772,802	9/1988	Glennon <i>et al.</i>			
AD3	4,802,882	2/1989	Heidrich			
AE3	4,833,887	5/1989	Kawamura <i>et al.</i>			
AF3	4,883,973	11/1989	Lakey <i>et al.</i>			
AG3	4,908,565	3/1990	Cook <i>et al.</i>			
AH3	4,955,199	9/1990	Kawamura			
AI3	4,967,096	10/1990	Diemer <i>et al.</i>			
AJ3	4,968,926	11/1990	Dhyanchand			
AK3	4,982,569	1/1991	Bronicki			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
AL3	6-108879	04/1994	Japan			Yes
AM3						No
AN3						Yes
AO3						No
AP3						Yes
						No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	3	
AS	3	
AT	3	

EXAMINER	<i>John S. Geis</i>	DATE CONSIDERED <i>10/15/04</i>
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.		

FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT <small>15 3 0 2001</small>		ATTY. DOCKET NO. 2066.0060001	APPLICATION NO. 09/853,852
		FIRST NAMED INVENTOR Evert R. Geis	
		FILING DATE May 11, 2001	ART UNIT 2837

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
AA4	5,013,929	5/1991	Dhyanchand			
AB4	5,015,941	5/1991	Dhyanchand			
AC4	5,029,062	7/1991	Capel			
AD4	5,038,566	8/1991	Hara			
AE4	5,055,764	10/1991	Rozman <i>et al.</i>			
AF4	5,057,763	10/1991	Torii <i>et al.</i>			
AG4	5,088,286	2/1992	Muraji			
AH4	5,115,183	5/1992	Kyoukane <i>et al.</i>			
AI4	5,158,504	10/1992	Stocco			
AJ4	5,191,520	3/1993	Eckersley			
AK4	5,250,890	10/1993	Tanamachi <i>et al.</i>			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
AL4						Yes
AM4						No
AN4						Yes
AO4						No
AP4						Yes

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	4	
AS	4	
AT	4	

EXAMINER	DATE CONSIDERED
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FORM PTO-1449 Q1 P E INFORMATION DISCLOSURE STATEMENT		ATTY. DOCKET NO. 2066.0060001	APPLICATION NO. 09/853,852
		FIRST NAMED INVENTOR Everett R. Gels	
		FILING DATE May 11, 2001	ART UNIT 2837

AUG 3 2004

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA5 5,252,860	10/1993	McCarty et al.			
	AB5 5,260,637	11/1993	Pizzi			
	AC5 5,291,106	3/1994	Murty et al.			
	AD5 5,309,081	5/1994	Shah et al.			
	AE5 5,325,043	6/1994	Parro			
	AF5 5,345,154	9/1994	King			
	AG5 5,363,032	11/1994	Hanson et al.			
	AH5 5,364,309	11/1994	Heidrich et al.			
	AI5 5,373,195	12/1994	De Doncker et al.			
	AJ5 5,381,081	1/1995	Radun			
	AK5 5,404,092	4/1995	Gegner			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL5					Yes No
	AM5					Yes No
	AN5					Yes No
	AO5					Yes No
	AP5					Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	5	
AS	5	
AT	5	

EXAMINER		DATE CONSIDERED 10.8/04
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FORM PTO-1449 D I P E INFORMATION DISCLOSURE STATEMENT		ATTY. DOCKET NO. 2066.0060001	APPLICATION NO. 09/853,852
		FIRST NAMED INVENTOR Everett R. Gels	
		FILING DATE May 11, 2001	ART UNIT 2837

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
AA6	5,406,797	4/1995	Kawamura			
AB6	5,477,091	12/1995	Fiorina et al.			
AC6	5,488,286	1/1996	Rozman et al.			
AD6	5,495,162	2/1996	Rozman et al.			
AE6	5,495,163	2/1996	Rozman et al.			
AF6	5,510,696	4/1996	Naidu et al.			
AG6	5,512,811	4/1996	Latos et al.			
AH6	5,545,090	8/1996	Kirshey			
AI6	5,546,742	8/1996	Shekhawat et al.			
AJ6	5,550,410	8/1996	Titus			
AK6	5,550,455	8/1996	Baker			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
AL6						Yes
AM6						No
AN6						Yes
AO6						No
AP6						Yes

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	6	
AS	6	
AT	6	

EXAMINER		DATE CONSIDERED
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O I P E FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT		ATTY. DOCKET NO. 2066.0060001	APPLICATION NO. 09/853,852
		FIRST NAMED INVENTOR Evert R. Gels	FILING DATE May 11, 2001
		ART UNIT 2837	

AUG 3 2001

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA7 5,563,802	10/1996	Plahn et al.			
	AB7 5,568,023	10/1996	Grayer et al.			
	AC7 5,572,108	11/1996	Windes			
	AD7 5,581,168	12/1996	Rozman et al.			
	AE7 5,594,322	1/1997	Rozman et al.			
	AF7 5,637,987	6/1997	Fattic et al.			
	AG7 5,646,458	7/1997	Bowyer et al.			
	AH7 5,656,915	8/1997	Eaves			
	AI7 5,767,637	6/1998	Lansberry			
	AJ7 5,903,116	5/1999	Geis et al.			
	AK7 5,905,346	5/1999	Yamada et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL7					Yes
	AM7					No
	AN7					Yes
	AO7					No
	AP7					Yes
						No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	Z	
AS	Z	
AT	Z	

EXAMINER		DATE CONSIDERED 10/16/01
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FORM PTO-1449 O I P E INFORMATION DISCLOSURE STATEMENT		ATTY. DOCKET NO. 2066.0060001	APPLICATION NO. 09/853,852
		FIRST NAMED INVENTOR Evert R. Gels	
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AUG 30 2004

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
AA8	5,929,537	7/1999	Glennon			
AB8	5,936,312	8/1999	Koide et al.			
AC8	5,939,794	8/1999	Sakai et al.			
AD8	5,946,086	8/1999	Bruce			
AE8	5,982,645	11/1999	Levran et al.			
AF8	5,998,976	12/1999	Steffan			
AG8	6,005,297	12/1999	Sasaki et al.			
AH8	6,020,713	2/2000	Geis et al.			
AI8	6,023,135	2/2000	Gilbreth et al.			
AJ8	6,031,294	2/2000	Geis et al.			
AK8	6,051,951	4/2000	Arai et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
AL8						Yes No
AM8						Yes No
AN8						Yes No
AO8						Yes No
AP8						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	8	
AS	8	
AT	8	

EXAMINER		DATE CONSIDERED 10/6/04
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FORM PTO-1449 CIP INFORMATION DISCLOSURE STATEMENT		ATTY. DOCKET NO. 2066.0060001	APPLICATION NO. 09/853,852
		FIRST NAMED INVENTOR Evertt R. Geis	
		FILING DATE May 11, 2001	ART UNIT 2837

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE	
AA9	6,064,122	5/2000	McConnell				
AB9	6,147,414	11/2000	McConnell et al.				
AC9	6,153,942	11/2000	Roseman et al.				
AD9	6,169,332 B1	1/2001	Taylor et al.				
AE9	6,169,334 B1	1/2001	Edelman				
AF9	6,175,210 B1	1/2001	Schwartz et al.				
AG9	6,194,794 B1	2/2001	Lampe et al.				
AH9	6,323,625	11/2001	Bhargava				
AI9	6,487,096 B1	11/2002	Gilbreth et al.				
AJ9							
AK9							

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
AL9						Yes
AM9						No
AN9						Yes
AO9						No
AP9						Yes

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	9	
AS	9	
AT	9	

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